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LAHAINA, MAUI, HAWAII 96761-2314
TEL 808/280-2413
FAX 808/356-0263**FACSIMILE COVER SHEET****To:** Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450**Facsimile No. (703) 872-9306****Re:** Application No. 10/821,720
US NonProvisional Application for
BASEBALL STYLE HAT WITH SIZE ADJUSTMENT
Inventor: Felipe Claro
Filing Date: April 9, 2004
Our File No. FC-03-01C01**From:** Kuni Oh, Esq.
JACKSON & CO., LLP
6114 La Salle Ave., Suite 507
Oakland, CA 94611-2802
Tel (510) 652-6418
Fax (510) 652-5691**Pages:** 10 (Including Cover Sheet)**Date:** July 15, 2005

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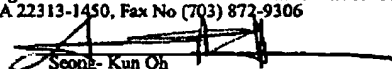
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of) Group Art Unit: 3765
)
Felipe CLARO) Anticipated Examiner:
) Katherine M. Moran
Appln. No. 10/821,720)
) **INFORMATION DISCLOSURE STATEMENT**
Filed: April 9, 2004) **TRANSMITTAL**
)
)
For: **BASEBALL STYLE HAT**) **Customer No. 30349**
WITH SIZE ADJUSTMENT) Jackson & Co., LLP
) 6114 La Salle Ave., # 507
) Oakland, CA 94611-2802

<p style="text-align: center;">CERTIFICATE OF TRANSMISSION I hereby certify that this correspondence is being facsimile transmitted to the Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450, Fax No (703) 872-9306 on July 18, 2005  Seong-Kun Oh</p>


Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir: Enclosed herewith please find the following papers:

1. Information Disclosure Statement Transmittal (in duplicate);
2. Information Disclosure Statement including Form PTO-1449
3. Certificate of Transmission (in duplicate);
4. Deposit Account Authorization (in duplicate).

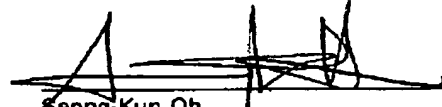
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Respectfully submitted,
JACKSON & CO., LLP


July 18, 2005
(Date)

(FC-03-01C01)

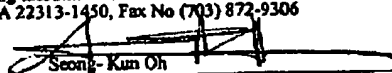
By:


Seong-Kun Oh
Registration No. 48,210
Attorneys for Applicant(s)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	Group Art Unit: 3765
)	
Fellpe CLARO)	Anticipated Examiner:
)	Katherine M. Moran
Appln. No. 10/821,720)	
)	INFORMATION DISCLOSURE STATEMENT
Filed: April 9, 2004)	TRANSMITTAL
)	
)	
For: BASEBALL STYLE HAT)	Customer No. 30349
WITH SIZE ADJUSTMENT)	Jackson & Co., LLP
)	6114 La Salle Ave., # 507
)	Oakland, CA 94611-2802

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--

Commissioner for Patents
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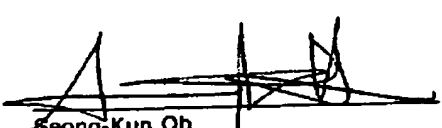
Respectfully submitted,

JACKSON & CO., LLP

July 18, 2005
(Date)

(FC-03-01C01)

By:


Seong-Kun Oh
Registration No. 48,210
Attorneys for Applicant(s)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	Group Art Unit: 3765
)	
Felipe CLARO)	Anticipated Examiner: Katherine
)	M. Moran
Application No. 10/821,720)	
)	<u>INFORMATION DISCLOSURE</u>
Filed: April 09, 2004)	<u>STATEMENT</u>
)	
For: BASEBALL STYLE HAT WITH SIZE)	Jackson & Co., LLP
ADJUSTMENT)	6114 La Salle Ave., #507
)	Oakland, CA 94611-2802
)	
)	Customer No. 30349

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PO Box 1450, Alexandria VA 22313-1450, Fax No (703) 872-9396

on July 18, 2005.

Scott Kum Oh

Commissioner for Patents
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Sir:

Applicant submit herewith patents, publications or other information [attached hereto and listed on the attached Form PTO-1449 (modified)] of which aware, which believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) ☐ accompanies the new patent application submitted herewith. 37 CFR § 1.97(a).

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- (b) ☐ is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491.
- (c) ☒ as far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits.
- (d) ☐ is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below.
- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and the Issue Fee has not been paid, and is accompanied by the fee (\$180) set forth in 37 CFR § 1.17(P) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

[If either of boxes (d) or (e) are checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that:

- (f) ☐ Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- (g) ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

A list of the patent(s) or publication(s) is set forth on the attached Form PTO-

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1449 (Modified).

A copy of the items on PTO-1449 (Modified) is supplied herewith:

(h) ☐ each (i) ☐ none (j) ☒ only those listed below:

- Front page of Brazilian Patent No PI 9404150-4 A

Those patent(s) or publication(s) which are marked with an asterisk (*) in the attached form PTO-1449 (Modified) are not supplied because they were previously cited by or submitted to the Office in a prior application no. , filed and relied upon in this application for an earlier filing date under 35 U.S.C. § 120.

A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is:

- (k) ☒ not given
- (l) ☐ given for each listed item
- (m) ☐ given for only non-English language listed item(s) [Required]
- (n) ☐ is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references [copy attached].

The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention," MPEP § 609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other

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material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

[X] The Commissioner is hereby authorized to charge our Deposit Account No. 50-2019 for any fees required in connection with the filing of this Information Disclosure Statement. A duplicate copy of this Notice is enclosed for this purpose. In particular, in the event that an Office Action has crossed in the mail with this Information Disclosure Statement, the Commissioner is authorized to charge the above-named deposit account for any fees required pursuant to CFR §§ 1.17(p) or 1.17(i)(1).

Respectfully submitted,
JACKSON & CO., L.L.P.

Dated: July 15, 2005

By. 

Seong-Kun Oh
Reg. No. 48,210
Attorneys for Applicant

Jackson & Co., LLP
6114 La Salle Ave., #507
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Tel. No. 510/652-6418

Our File No: FC-03-01C01

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material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

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Respectfully submitted,
JACKSON & CO., L.L.P.

Dated: July 15, 2005

By 

Seong-Kun Oh
Reg. No. 48,210
Attorneys for Applicant

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6114 La Salle Ave., #507
Oakland, CA 94611-2802
Tel. No. 510/652-6418

Our File No: FC-03-01C01

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Sheet 1 of 1

FORM PTO-1449 (Modified) (Rev. 7-80)		U.S. Dept. of Commerce Patent and Trademark Office		Atty Docket No. FC-03-01C01		Appln. No. 10/821,720	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Applicant(s) Felipe CLARO			
				Filing Date April 9, 2004		Group 3765	
U.S. PATENT DOCUMENTS							
*Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
	AA	2,458,275	01/04/1949	Kriebel			12/06/1946
	BB	2,556,807	06/12/1951	Goldman			01/25/1949
	CC	5,603,121	02/18/1997	Borkovic et al	A42B	1/22	02/29/1996
	DD	2,101,107	08/06/1935	Probst			01/28/1935
	EE	3,737,918	06/12/1973	Henschel et al	2	197	08/16/1971
	FF	2,775,769	01/01/1957	Henschel			7/12/1954
FOREIGN PATENT DOCUMENTS							
*Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation YES NO
	AA	PI 9404150-4 A	0620/1995	Brazil			<input checked="" type="checkbox"/> X
OTHER DOCUMENTS							
	XXIX.						
Examiner					Date Considered		
* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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